

Full methodology

Project selection

Assessment through indicators

The assessment of the projects under the scope of this report was carried out through (1) a **computing programme** that scans available documentation and website filters paired with (2) **manual assessment** of output datasets. Through these two approaches we are able to cover more than 500 projects while keeping a consistent and comprehensive analysis of what information is available. Due to differences in the information available for GCF and CIF, we have adapted the methodology accordingly so slight changes in how the assessment is made for the two funds were made. Each assessment either **fully satisfies, partially satisfies or fails to satisfy** the indicator. If partially satisfying the indicator, this will be counted as 0.5 towards the final number of projects satisfying the indicator.

For specific approaches across all 10 indicators for a Just Transition, see below.

GCF

1. Participatory and inclusive processes

The assessment of this indicator is a two-step process where (1) the documentation is scanned for the following **keywords** and a (2) snippet of relevant length where multiple (more than two) of the keywords appear in the same paragraph for human review is extracted for **human assessment**.

The keywords are *consultation, participation, impact assessment, stakeholders, unions, participatory, inclusive*.

Table 1

Level of inclusivity and participation	Indicator satisfied
Any project that states how many people and from which groups will be consulted; which communities/ Indigenous groups/ representative groups; some specificity on the plan for consultation has been described which includes consultation with local communities, local representatives, Indigenous Peoples, etc.	Yes
Any project where there is very brief mention on consultation planned; the only mention is in relation to Annex 7 or Annex 2 (which are not published); only in reference to a policy by the implementation entity without project specifics; the engagement focused on	No

grievance mechanisms without apparent participation or consultation during project design and implementation. It will also be considered non-meaningful if the consultation is taking place but only in relation to high-level stakeholders; or there is explicit mention of consultation taking place only for buy-in of project.	
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2. Direct financing of community-led organisations

The assessment of this indicator is a one-step process where the documentation is scanned for the following **keywords**. The indicator is considered satisfied if at least one of the keywords is found.

The keywords are *direct access entities*, *direct access entity*, *enhanced direct access*. Additional human assessment is also required for *DAE* and *EDA*.

3. Support for economic or livelihood diversification

The assessment of this indicator is a two-step process where (1) the documentation is scanned for the following **keywords**. The indicator is considered satisfied if the following keywords are found.

The keywords are *income diversification*, *diversification of income*, *livelihood diversification*, *diversification of livelihoods*, *livestock diversification*, *agriculture diversification*, *diversification in food production*. Additional human assessment is also required for *crop diversification*.

For those projects for which the first condition is not met, (2) the documentation is scanned for the following keywords, and a snippet of relevant length is extracted for **human assessment**:

The keywords are *economic diversification*, *market diversification*, *green jobs*.

Table 2

Economic and market diversification and green jobs	Indicator satisfied
Any project where economic diversification and market diversification are present in the design as actionable objectives; where additional green jobs are estimated as a direct result of the project.	Yes
Any project where these are not present in the project design, but only as part of the wider context or where there is very little to no specificity on how these objectives would be actionable.	No

4. Training, education and skills building

The assessment of this indicator is a two-step process where (1) the documentation is scanned for the following **subsection and check box** (with slight text variations): *c.3.1 does GCF financing fund capacity building activities*. If the “Yes” check box is marked, (2) then the script looks for instances of “capacity building” and retrieves a snippet of text for **human review**. If the “No” check box is marked, then the indicator is considered not satisfied.

Table 3

Training, education and skills building	Indicator satisfied
Any project where capacity building or training is offered directly to communities, farmers or SMEs.	Yes
Any project where capacity building is focused only on institutional capacity building.	No

5. Social protection

The assessment of this indicator is a two-step process where (1) the documentation is scanned for the following **keywords** and a (2) snippet of relevant length for human review is extracted for **human assessment**.

The keywords are *social protection, safety net, income support, job guarantee, wage guarantees, pension benefits, cash transfers, furlough*.

Table 4

Social protection	Indicator satisfied
Any project where these keywords are present in the design as actionable objectives (i.e., the project includes cash transfers to vulnerable communities impacted by the project).	Yes
Any project where these are not present in the project design, but only as part of the wider context or where there is very little to no specificity on how these objectives would be actionable.	No

6. Grant-based financing

- The indicator is **satisfied** if the proportion of grants against other forms of financing is **100%**.
- The indicator is **partially satisfied** if the proportion of grants against other forms of financing is **above 75%**.
- The indicator is marked as **not satisfied** if the proportion of grants against other forms of financing is between **0% and 75%**.

7. Improving access to energy or improving access to food security

For projects identified as “supporting access to energy” from indicator 1A, the assessment the process includes a **human assessment** of each project as follows:

Table 5

Level of access	Indicator satisfied
Any project involving increased capacity for renewable energy	Yes, fully
Any project where there is no clear increased capacity, it is not directly contributing to increased capacity or access for renewable energy for new people, communities, SMEs.	No
Any project not included in indicator 1A	Not counted toward the total of projects improving access to energy

For projects that do not tick the box for “Energy access and power generation” in the “Result areas” section of the “Approval document”, the indicator will be automatically satisfied if the following **keywords** are found.

The keywords are *improved food security, enhanced food security*.

7. Securing and protecting people’s rights

The indicator is considered satisfied if at least one of the following **keywords** are found.

The keywords are *Indigenous People’s Plan, Indigenous Peoples’ Plan, Indigenous Action Plan, Indigenous peoples’ rights, Indigenous people’s rights, FPIC, Free, prior and informed consent, land rights, tenure rights*.

9. Gender inclusiveness

This indicator is considered satisfied if the project has a “**Gender action plan**”. If there is no such document, the indicator is considered satisfied if the tick box for “Gender” is checked.

Alternatively, when a project does not have a “Gender action plan” document associated, the documentation is scanned for the following **subsection and check box**: “Gender” in the “*co-benefit categorization*” section. If the gender tick box is checked, the indicator is considered as “partially satisfied”.

10.A. Support for Renewable Energy

The assessment of this indicator is a two-step process where this indicator is considered to be satisfied, if (1) the tick box for “Energy access and power generation” in the “Result areas” section of the “Approval document” is **checked**; and (2) **human assessment** of remaining projects on whether they meet the following criteria:

Table 6

Technologies and themes covered	Indicator satisfied
Any project involving the implementation of new solar, wind, micro-hydro, geothermal energy	Yes
Any project including implementation of BECCS, biogas, bioenergy, CCS, nuclear, large-scale hydro energy	No
Any project not related to energy (i.e. main focus on agriculture, financial instruments without specified objective)	Not counted toward the total of projects in renewable energy

10.B. Support for Agroecology

The assessment of this indicator is a two-step process where (1) the documentation is scanned for the following **keywords** and a (2) snippet of relevant length for human review is extracted for **human assessment**.

The keywords are *agroecology, agroecological, soil health, soil fertility, smart agriculture, sustainable agriculture, climate smart agriculture, climate-smart agriculture, conservation agriculture*.

Additionally, a number of keywords were selected to indicate potential red flags, which would deem the indicator as not satisfied. These are: *GMO, genetically modified organisms, precision agriculture, sustainable intensification, reducing emissions intensity*.

Table 7

Technologies and themes covered	Indicator satisfied
Any project involving the implementation of agroecological practices	Yes, fully
Any project including implementation of GMO	No
Any project not related to agriculture (i.e. main focus on financial instruments without specified objective)	Not counted toward the total of projects in agriculture

1. Participatory and inclusive processes

- If the project has a cover page, the assessment of this indicator is a two-step process where (1) the documentation is scanned for the following **keywords** and a (2) snippet of relevant length where multiple (more than two) of the keywords appear in the same paragraph for human review is extracted for **human assessment** (see table 3 under GCF).

The keywords are *consultation, participation, impact assessment, stakeholders, unions, participatory, inclusive*.

- If the project does not have a cover page, the project is marked as not satisfying the indicator.

2. Direct financing of community-led organisations

- If the project has a cover page, the indicator is considered satisfied if at least one of the following **keywords** are found.

The keywords are *direct access entities, direct access entity, enhanced direct access*. Additional human assessment is also required for *DAE* and *EDA*.

- If the project does not have a cover page, the project is marked as **not satisfying** the indicator.

3. Support for economic or livelihood diversification

- If the project has a cover page, (1) the documentation is scanned for the following **keywords**, and a (2) snippet of relevant length is extracted for **human review** (see table 4 under GCF).

The keywords are *economic diversification, market diversification, green jobs*.

- If the project does not have a cover page, the project is marked as **not satisfying** the indicator.

4. Training, education and skills building

- If the project has a cover page, the assessment of this indicator is a two-step process where (1) the **tick box** for “capacity building” is checked and a (2) snippet of relevant length for human review is extracted for **human assessment** (see table 5 under GCF).
- If the project does not have a cover page, the project is marked as **not satisfying** the indicator.

5. Social protection

- If the project has a cover page, (1) the documentation is scanned for the **keyword** “social protection”, and a (2) snippet of relevant length is extracted for **human review** (see table 6 under GCF).
- If the project does not have a cover page, the project is marked as **not satisfying** the indicator.

6. Grant-based financing

- The indicator is **satisfied** if the proportion of grants against other forms of financing is **100%**.
- The indicator is **partially satisfied** if the proportion of grants against other forms of financing is **above 75%**.
- The indicator is marked as **not satisfied** if the proportion of grants against other forms of financing is between **0% and 75%**.

7. Improving access to energy or Improving access to food security

- If the project has a cover page, the indicator is considered satisfied if the following keywords are found.

The keywords are *access to electricity, energy access, improved food security, enhanced food security*.

- If the project does not have a cover page, the assessment checks if the project has “Energy Access” in its **topics** list. If it does, the project is marked as **partially satisfying** the indicator. Otherwise, the project is marked as **not satisfying** the indicator.

8. Securing and protecting people’s rights

- If the project has a cover page, the indicator is considered satisfied if at least one of the following **keywords** are found.

The keywords are *Indigenous People’s Plan, Indigenous Peoples’ Plan, Indigenous Action Plan, Indigenous peoples’ rights, Indigenous people’s rights, FPIC, Free, prior and informed consent, land rights, tenure rights*.

- If the project does not have a cover page but it has “Indigenous Peoples/Local Communities” in its **topics** list, the indicator is considered **partially satisfied**. Otherwise, the project is marked as **not satisfying** the indicator.

9. Gender inclusiveness

- If the project has a cover page, the assessment of this indicator is a two-step process where (1) the **section** for “Gender activities” is filled in and a (2) snippet of relevant length for human review is extracted for **human assessment**.

Gender inclusion	Indicator satisfied
Any project where women are included in the design, implementation, consultation, impact assessment and there are actionable objectives attached.	Yes
Any project where women are not present in the design, implementation, consultation, impact assessment; there is only mention as part of the wider context or	No

where there is very little to no specificity on how these objectives would be actionable.

- If the project does not have a cover page but it has “Gender” in its **topics** list, the indicator is considered **partially satisfied**. Otherwise, the project is marked as **not satisfying** the indicator.

10A. Support for Renewable Energy

If the project has a cover page, this indicator is considered **fully satisfied** if the **tick box** for “Renewable energy” in the “Sector / Focus / Pillar” section is checked and the technology area has any of the tick boxes for “wind”, “solar” or “geothermal” checked. If the technology area has the tick boxes for “bioenergy”, “biofuels” or “CSS” checked, the indicator is flagged negatively.

- If the project does not have a cover page, but it is listed within the **topics** “Renewable Energy” or “Renewable Energy Integration”, the indicator is marked as **partially satisfied**.

10B. Agriculture - Shifting from industrial agriculture to agroecology and sustainable livestock production

- If the project has a cover page, the assessment of this indicator is a two-step process where (1) the documentation is scanned for the following **keywords** and a (2) snippet of relevant length for human review is extracted for **human assessment** (see table 1B under GCF).

The keywords are *agroecology, agroecological, soil health, soil fertility, smart agriculture, sustainable agriculture, climate smart agriculture, climate-smart agriculture, conservation agriculture*.

Additionally, a number of keywords were selected to indicate potential red flags, which would deem the indicator as not satisfied. These are: *GMO, genetically modified organisms, precision agriculture, sustainable intensification, reducing emissions intensity*.

- If the project does not have a cover page, the project is marked as not satisfying the indicator.

Definition of Just Transition projects

For the purpose of this report, we identify which projects are aligned with a just transition based on the indicators presented above. We consider a project to be aligned with a just transition when they meet these four conditions:

Conditions for a just transition	Methodology
Must be participatory and inclusive processes	The indicator 1 must be satisfied

Must be gender inclusive	The indicator 9 must be satisfied
Must be transformative in energy or agriculture	Either indicator 10A or 10B must be satisfied
Must have a minimum of social justice integration	At least 2 other social justice indicators must be satisfied across 2, 3, 4, 5, 6, 7 or 8

Finance for a Just Transition

Our calculation of finance for a just transition, or just transition-aligned finance, refers to the sum of the total amount (both loans and grants) corresponding to each Just Transition project (for both GCF and CIF).

Just transition-aligned finance = $\sum_{i=1}^n \text{amount of just transition – aligned project}_i$

Additionally, the share of just transition-aligned climate finance is calculated with respect to the total GCF and CIF for all projects in scope.

$$\% \text{ of just transition-aligned finance} = \frac{\text{Just transition – aligned finance (USD)}}{\text{Total GCF (USD)} + \text{Total CIF (USD)}}$$

Full Table of Results

	GCF Total = \$13.7 billion	CIF Total = \$ 9.0 billion	
	178 mitigation and cross-cutting projects in total	466 mitigation and cross-cutting projects, including those without cover pages (no duplicates)	142 mitigation and cross-cutting projects with cover page available online (no duplicates)
Process indicators			
Participatory and inclusive processes*	39.9% of projects	8.8% of projects	28.9% of projects
Direct financing of community-led organisations	19.7%	0.2%	0.7%
Support for income and livelihood diversification	32.0%	0.9%	2.8%
Training, education, skills building & reskilling	19.7%	1.7%	5.6%

Social protection and public services	2.8%		0.6%		2.1%	
Grant-based financing	37.1%		47.6%		51.8%	
Outcome indicators						
Improving access to energy and food	33.7%		10.3%		28.2%	
Securing and protecting rights	60.7%		5.9%		11.3%	
Gender inclusiveness*	10.7%		76.9%		21.8%	
Transformative practice in energy (i.e. renewable energy)*	20.2%	30.9	22.6%	23.2%	25.4%	26.5%
Transformative practice in agriculture (i.e. agroecology)*	10.7%		0.6%		2.1%	
Projects that scored on the 3 mandatory indicators + 2 others	10 GCF projects count as just transition		2 CIF projects count as just transition			
	5.6% of GCF projects count as just transition		0.4% of CIF projects count as just transition			
	3.6% of GCF financing is supporting just transition		1.5% of CIF financing is supporting just transition			
	US\$ 493 million of GCF financing is supporting just transition		US\$137 million of CIF financing is supporting just transition			
*Indicators that are mandatory for just transition						